Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JAN-22**

of M/s. ASDA Securities (Pvt.) Ltd.

Submission Date 14-FEB-2022 13:26:08

	SUDITIISSION Date 14-FED-2022 13.20.00		Page	1 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
1.1	Property & Equipment	4,675,778	4,675,778	
1.2	Intangible Assets	2,500,000	2,500,000	
1.3	Investment in Govt. Securities Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	331,692,095	55,599,082	276,093,013
	respective securities whichever is higher. ii. If unlisted, 100% of carrying value.			
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker. iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities	15,411,855	15,411,855	
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)	13,411,855	13,411,655	
	Provided that 100% haircut shall not be applied in case of investment in those securities which are			
	Pledged in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of Banks against Short Term financing arrangements. In such cases, the haircut as provided in			
	schedule III of the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6 1.7	Investment in subsidiaries Investment in associated companies/undertaking			
,	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
1.8	ii. If unlisted, 100% of net value. Statutory or regulatory depositsbasic deposits with the exchanges, clearing house or central depository	11,200,000	11,200,000	
	or any other entity.	, ,		
1.9 1.10	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	6,278,264	0	6,278,264
1.11	Other deposits and prepayments	7,589,775	7,589,775	
1.12	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
1.13	Dividends receivables. Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	419,500	0	419,500
1.15	PLUS	419,300	0	419,500
	ii. Advance tax to the extent it is netted with provision of taxation.	4 004 040	4 004 040	
1.16	iii. Receivables other than trade receivables Receivables from clearing house or securities exchange(s)	1,824,249	1,824,249	
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
1.17	Receivable on entruements against trading of securities in an markets including with gains.			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
	ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract,			
	iii. Net amount after deducting haricut iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	656,684	0	656,684
	iv. Balance sheet value		-	
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	4,509,058	4,265,953	4,265,953
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.	0 707 604	0 707 604	
1.18	vi. 100% haircut in the case of amount receivable form related parties. Cash and Bank balances	2,727,604	2,727,604	
	i. Bank Balance-proprietory accounts	26,498	0	
	ii. Bank balance-customer accounts	21,768,022	0	21,768,022

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JAN-22**

of M/s. ASDA Securities (Pvt.) Ltd.

Submission Date 14-FEB-2022 13:26:08

Pok Rupee Adjustments Value II. Cash in hand		Submission Date 14-11D-2022 13.20.00		Page	2 Of 3
III Cash in hand Intel Assets Intel Ass	S.No.	Head of Account	Value in	Hair Cut/	Net Adjusted
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11 Incide Psycholes 0 15.85.080 0 21.768.028 0 21.768.028 0 21.65.027 0 21.64.0270 0 21.64.0270 0 21.64.0270 0 21.64.0270 0 21.64.0270 0 21.65.028 0 2.022.028 0 2.022.028 0 2.022.028 0 2.022.028 0 2.022.028 0 2.022.028 0 2.022.028 0 2.022.028 0 2.022.028 0 2.022.028 0 2.022.028 0 2.022.028 0 2.022.028 0 2.022.028 0 2.022.028	1.19	Total Assets	411,279,382	105,794,296	309,507,934
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c. Relevant Regulatory approvals have been obtained					
at There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. Auditor is satisfied that such advance is against the increase of capital. W. Other liabilities are per accounting uniciples and included in the financial statements. 24 Subordinated Loans which fulfil the conditions specified by SECP are allowed to be deducted. The Schedule II provides that 100% halicut will be allowed against subordinated Loans which fulfil the conditions specified to SECP. In this regard, following conditions are specified:					
e. Auditor is satisfied that such advance is against the increase of capital. w. Other liabilities are accounting principles and included in the financial statements Conditions specified to loans which fulfill the conditions specified by SECP are allowed to be deducted: the Schedule III provides that 10% halcut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified. a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No halcut will be allowed against shot term portion which is repayable within next 12 months. c. In case of early reporting period D. No halcut will be allowed against shot term portion which is repayable within next 12 months. c. In case of early repayment of loans, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange. If Subordinated loans which do not fulfill the conditions specified by SECP Concentration in Margin Financing The anount calculated Cleff-to - client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of mount accultes lending and borrowing The manut calculated client-to - client basis by which any amount receivable from total financees. Concentration in Margin Financing The manut calculated client-to - client basis by which any amount receivable from total financees. Concentration in Securities pledged as margins exceed the 110% of the market value of shares borrowed dia in the case of right issue. If the market value of securities is less than or equal to the subscription price: the aggregate of in the case of right issue. If the market value of securities is less than or equal to the subscription price: the aggregate of in the case of right issue where the market price of securities is less than or equal					
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Capital statement must be submitted to exchange.		b. No haircut will be allowed against short term portion which is repayable within next 12 months.			
ii. Subordinated loans which do not fulfill the conditions specified by SECP 260,396,960 0 2.5 Total Liabilities Relating to : 260,396,960 0 3 Ranking Liabilities Relating to : 260,396,960 0 3.1 Concentration in Margin Financing The amount calculated client-to- client basis by which any amount receivable from any of the financese exceed 10% of the aggregate of amounts receivable from total finances. 0 0 3.2 Concentration in securites lending and borrowing The amount by which the aggregate of: (1) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed 0 0 3.3 Net underwriting Commitments (a) in the case of right issue: if the market value of securities is less than or equal to the subscription price: the aggregate of: (1) the saw by which the underwriting commitments and (1) the value by which the underwriting commitments and (1) the value by which the net underwriting (b) in any other case: 12.5% of the net underwriting commitments and the date underwriting commitments 0 0 3.4 Negative equity of subsidiary The amount by which the end assets of the subsidiary (excluding any amount due from the subscription price; 5% of the Haircut multiplied by the net underwriting commitments and how thich the total assets of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities of the subsidiary (excluding any amount due from the subsidiary) exceed the total liabilities					
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3 Ranking Liabilities Relating to :		II. Subolumated toals which do not runn the conditions specified by SECF			
3.1 Concentration in Margin Financing	2.5	Total Liabilites	260,396,960	0	260,396,960
The amount calculated client-to- client basis by which any amount receivable from any of the finances exceed 10% of the aggregate of amounts receivable from total finances.	3	Ranking Liabilities Relating to:			
financees exceed 10% of the aggregate of amounts receivable from total financees.	3.1	Concentration in Margin Financing			
3.2 Concentration in securites lending and borrowing Image: Concentration in securites lending and borrowing The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Image: Concentration of the market value of shares 3.3 Net underwriting Commitments Image: Concentration of the market value of securites is less than or equal to the subscription price: the aggregate of: Image: Concentration of the market value of securites is less than or equal to the subscription price: the aggregate of: Image: Concentration of the market price of the securites. (i) the solw of Haircut multiplied by the underwriting commitments and Image: Concentration of the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting commitments Image: Concentration of the subsidiary 3.4 Negative equity of subsidiary Image: Concentration of the subsidiary (excluding any amount due from the subsidiary) Image: Concentration of the subsidiary 3.5 Foreign exchange agreements and foreign currency positions Image: Concentration on foreign currency. Net position in foreign currency Image: Concentration on foreign currency less total liabilities denominated in foreign currency 3.6 Amount Payable under REPO Image: Concentrency Image: Concentratis and concentration on forei		The amount calculated client-to- client basis by which any amount receivable from any of the			
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		assets denominated in foreign cuurency less total liabilities denominated in foreign currency			
3.1		Amount Payable under REPO			
	J.1		<u> </u>		

Schedule III [See regulation 6(4)]

Liquid Capital Statement

for the month of **31-JAN-22**

of M/s. ASDA Securities (Pvt.) Ltd.

Submission Date 14-FEB-2022 13:26:08

			Page	3 Of 3
S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market			
	value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received ,less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security			
3.9	Opening Positions in futures and options			
	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts			
	ii. In case of proprietary positions , the total margin requirements in respect of open positions to the extent not already met			
3.10	Short sell positions			
	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts			
	ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	0	0	
3.12	Liquid Capital	150,882,422	105,794,296	49,110,974